

<u>NUMBER</u>	<u>DESCRIPTION</u>
2	ILLEGAL IMPORTATION BY LICENSEE
3	POSS/CONS/SALE OF DLL REGULATED PRODUCT OTHER THAN ALLOWED BY LICENSE TYPE
4	POSS/CONS/SALE OTHER THAN PURCHASED ON INVOICE
5	CATERING REQUESTS/ 5 DAYS NOTICE/SHORTENED TO NOT LESS THAN 1 DAY
5(A)	PRE-APPROVAL LOCATION/ 200+PATRONS/ 24 HOUR PRIOR NOTIFICATION
6	POSS/FURN WINES >17% ALCOHOL
7	PERMITTING INSPECTIONS/VIDEO REVIEW/KEEPING OF BUSINESS RECORDS
7(A)	INTERFERING/FALSE INFORMATION/FAILING TO COOPERATE
7(B)	LICENSEE/EMPLOYEE FAILURE TO IDENTIFY SELF
8	IMPLEMENTS OF GAMBLING
9	MEALS AND ROOMS TAX/NOT KEEPING IN GOOD STANDING
10	LICENSE HUNG / VIEWABLE BY PUBLIC
11	SALE/SERVICE TO A 20 Y/O MINOR (NOT COMPLIANCE TEST)
11	SALE/SERVICE TO A 19 Y/O MINOR (NOT COMPLIANCE TEST)
11	SALE/SERVICE TO A PERSON UNDER 18 Y/O (NOT COMPLIANCE TEST)
12	FAILURE TO IDENTIFY PERSON OF QUESTIONABLE AGE
13	1ST/3RD CLASS SERVICE BY PERSON UNDER 18 Y/O
14	2ND CLASS DLL REGULATED PRODUCT SALES BY PERSON UNDER 16 Y/O
15	ON DUTY CONSUMPTION/ EMPLOYEE DISPLAYING SIGNS OF IMPAIRMENT
16	FURNISHING INTOX PATRON/ NOT SEGREGATING INTOX PATRONS
16(A)	SERVICE TO REASONABLY INTOXICATED (AMOUNT SERVED)
17	STORING DLL REGULATED PRODUCTS IN UNAUTHORIZED LOCATION
18	LICENSEE/EMPL ACCEPTING ITEMS OF VALUE
19	DISSOLVED PARTNERSHIPS/NEW LICENSE
20	FAILURE TO NOTIFY CHANGE OF OWNERSHIP WITHIN 20 DAYS (CLUBS EXEMPT)
20(A)	FAILURE TO NOTIFY CHANGE OF AFFILIATES/SHAREHOLDERS WITHIN 15 DAYS
21	1ST CLASS/ ON PREMISE CONSUMPTION ONLY/ INVOICED ALCOHOL ONLY
22	2ND CLASS/LICENSEE PURCHASE ON INVOICE ONLY/ KEG LOGS KEPT 90 DAYS
23	3RD CLASS/ ON PREMISE CONSUMPTION ONLY/INVOICED ALCOHOL ONLY
24	1ST/3RD CLASS MUST HAVE HEALTH LICENSE / FOOD SERVICE AVAILABLE
25	LICENSE SUSPENSION - REQUIRED PUBLIC POSTING
25(A)	SUSPENSION - SALES/CONSUMPTION/SEVICE/ORDERS/DELIVERIES DURING SUSPENSION

26 SELLING AT LOWER PRICE THAN PURCHASE FROM WHOLESALE 1ST AND 2ND CLASS
27 RESPONSIBLE EMPLOYEE PRESENT AT ALL TIMES
28 RESALE OF STOCK WITHIN 30 DAYS OF SALE
29 SAFE AND SANITARY CONDITIONS/ COMPLY WITH HEALTH DEPT
30 COMPLY WITH DEPT OF LABOR/DEPT OF PUBLIC SAFETY/FIRE MARSHALL
31 LOCKED DOORS/ OTHER THAN ON DUTY PERSONS (CLUBS EXEMPT)
32 LIGHTING LEVEL TOO LOW/ UNABLE TO READ WHERE ALCOHOL IS SERVED/CONSUMED
33 BRAWLS/FIGHTS/DISTURBANCES/NOISE/ILLEGAL ACTIVITY/PUBLIC NUISANCE
33(A) PATRONS CONDUCT/HAD BEEN ALLOWED TO STAY DISPLAYING SIGNS
34 EXCEEDING 4 OZ/ 32 OZ / MULTIPLE DRINKS SERVED AT ONE TIME
35 DISPLAY OF TAPS/ DISPENSING
36 SELLING OTHER THAN WHAT WAS ORDERED
37 NO DRIP PANS
38 OUTSIDE CONSUMPTION PERMIT REQUIRED/ 200+ PEOPLE DOUBLE BARRIER
39 RE-USE/REFILL/TAMPER/DILUTE/FORTIFY/SUBSTITUTE CONTAINERS
40 EMPLOYEES ON PAYROLL/ NO VOLUNTEERS ALLOWED
41 2ND CLASS/ ALLOWING CONSUMPTION ON OR NEAR PREMIS/GROWLERS
42 PEDDLING/SOLICITING ORDERS FROM A VEHICLE
43 NO HAPPY HOURS/ REDUCED DRINK PRICES
43(A) GAMES/PROMOS/CONTESTS/PROMOTING RAPID CONSUMPTION
44(A) MISREPRESENTING MATERIAL FACT ON ANY DLL FORMS
45 FAILURE TO HAVE A "DESIGNATED DRIVER SIGN"
46 ADVERTISING ALCOHOL AS FREE
47 GAMBLING/BREAK OPEN TICKETS/NON-PROFITS
48 RETAIL DELIVERY CONTRARY TO REGULATION
ADV ANY AND ALL ADVERTISING REGULATIONS
HS ANY AND ALL HOURS OF SALE VIOLATIONS
CRD ANY AND ALL CREDIT REGULATIONS
WD ANY AND ALL WHOLESALE DEALER REGULATIONS
E-3 EMPLOYEE NOT PROPERLY TRAINED
E-3(D) TRAINING RECORD AVAILABILITY

Violations of Title

7VSA661

Violation of Other Criminal Statute

CRIMINAL OFFENSE, OTHER

Parent case

TOBACCO COMPLIANCE FAILURE	1
TOBACCO COMPLIANCE FAILURE	2
TOBACCO COMPLIANCE FAILURE	3
TOBACCO COMPLIANCE FAILURE	4
TOBACCO COMPLIANCE FAILURE	5
TOBACCO COMPLIANCE FAILURE	6

Child Case

TOBACCO COMPLIANCE FAILURE	7VSA1007(b)(2)(A)
TOBACCO COMPLIANCE FAILURE	7VSA1007(b)(2)(B)
TOBACCO COMPLIANCE FAILURE	7VSA1007(b)(2)(C)
TOBACCO COMPLIANCE FAILURE	7VSA1007(b)(2)(D)
ALCOHOL COMPLIANCE FAILURE	1
ALCOHOL COMPLIANCE FAILURE	2
ALCOHOL COMPLIANCE FAILURE	3
ALCOHOL COMPLIANCE FAILURE	4

PENALTY

\$2,010.00

\$210.00

\$210.00

\$110.00

\$110.00

\$210.00

\$510.00

\$510.00

\$510.00

\$510.00

Board Hearing

\$110.00

\$260.00

\$510.00

\$1,010.00

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A person that furnishes, sells, or keeps with intent to sell, or bottles or prepares for sale any alcoholic beverages, except as authorized by this title, or sells, barbers, transports, imports, exports, delivers, prescribes, furnishes, or possesses alcohol, except as authorized by the Board of Liquor and Lottery, or that unlawfully manufactures alcohol or possesses a still or other apparatus for the manufacture of alcohol
CRIMINAL OFFENSE, OTHER

Board Hearing

Board Hearing

FIRST OFFENSE

Letter of Warning

SECOND OFFENSE

\$100.00

THIRD OFFENSE

\$250.00

FOURTH OFFENSE

\$500.00

FIFTH OFFENSE

\$750.00

SIXTH OFFENSE

Board Hearing

FIRST SUBSEQUENT OFFENSE WITHIN SIX MONTHS OF LAST FAILURE

Suspension, 2 weekdays

SECOND SUBSEQUENT OFFENSE WITHIN SIX MONTHS OF LAST FAILURE

Suspension, 15 days

THIRD SUBSEQUENT OFFENSE WITHIN SIX MONTHS OF LAST FAILURE

Suspension, 90 days

FOURTH SUBSEQUENT OFFENSE WITHIN SIX MONTHS OF LAST FAILURE

Suspension, 365 days

FIRST OFFENSE

Letter of Warning

SECOND OFFENSE

\$300.00

THIRD OFFENSE

\$500.00

FOURTH OFFENSE

Board Hearing